

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): HEILIG, Joerg; LAUX, Thorsten
Assignee: Sun Microsystems, Inc.
Title: DATA ACCESS SYSTEM AND METHOD WITH PORXY AND
REMOTE PROCESSING
Serial No.: Unknown Filing Date: August 16, 2001
Examiner: Unknown Group Art Unit: Unknown
SUN Docket No.: P5210 US



August 16, 2001

Assistant Commissioner For Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56 and 1.97

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. §§ 1.56 and 1.97, Attorneys for Applicant hereby invite the Examiner's attention to the references listed on the accompanying revised PTO Form 1449 entitled List of References Cited by Applicant.

Identification of references listed on the accompanying PTO Form 1449 is not to be construed as an admission of Applicant or Attorneys for Applicant that such references are available as prior art against the subject application. Further the right is reserved to antedate any included reference in accordance with standard procedure.

This submission is understood to complement the results of the Examiner's own independent search. The submission should not be construed as a representation that a search was made or that the cited references are inclusive of all the relevant and material citations that may be available publicly.

☒ (New Application) Copies of each cited publication and U.S. and foreign patent, except for pending U.S. applications, are submitted herewith, along with a concise explanation of foreign language publications (if any).

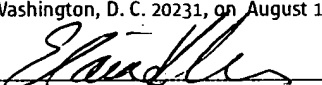
Applicant respectfully requests that the listed references be considered by the Examiner and be made of record in the application identified above. The Examiner is requested to initial and return the enclosed PTO Form 1449 in accordance with MPEP § 609.

☒ X 37 C.F.R. § 1.97(b). No fee is believed due with this statement, because (check all that apply):

- ☒ X (1) It is being filed within 3 months of the application filing date; OR
- ☐ (2) It is being filed within 3 months of entry of a national stage; OR
- ☐ (3) It is being filed before the mailing date of the first Office Action on the merits.

☒ X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account Number 19-4974.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to : Assistant Commissioner for Patents, Washington, D. C. 20231, on August 16, 2001.


Attorney for Applicant(s)

August 16, 2001
Date of Signature

Respectfully submitted,



Elaine K. Lee
Attorney for Applicant(s)
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	Unknown
				Filing Date	8/16/01
				First Named Inventor	HEILIG, Joerg et al.
				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	1	of	2	Attorney Docket Number	Unknown

JC979 U.S. PTO
 09/16/01
 09/16/01

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁸
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	1	WO	98/43177	A 1	Intel Corporation	10/1/98	Page 5, line 1 Page 5, line 29 Page 6, line 16 Page 7, line 16 Page 11, line 21 Page 12, line 4	

Examiner Signature		Date Considered	
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	Unknown
				Filing Date	8/16/01
				First Named Inventor	HEILIG, Joerg et al.
				Group Art Unit	Unknown
Examiner Name	Unknown				
Sheet	2	of	2	Attorney Docket Number	P5210 US

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	2	MOGUL, J.: "Server-directed Transcoding", 5TH INTERNATIONAL WEB CACHING AND CONTENT DELIVERY WORKSHOP: PROCEEDINGS, 'Online, May 22, 2000, XP002162540, Lisbon, Portugal; Retrieved from the Internet: <URL: http://www.iwcw.org/2000/Proceedings/S1/S1 - 4.ps> retrieved on March 9, 2001'	
	3	FREYTAG, C. et al.: "Resource adaptive WWW access for mobile applications", COMPUTERS AND GRAPHICS, GB, PERGAMON PRESS LTD. OXFORD, Volume 23, No. 6, December 1999, Pages 841-848, XP004187832 ISSN: 0097-8493	
	4	BROOKS et al.: "Application-Specific Proxy Servers as HTTP Stream Transducers", INTERNET CITATION, XX,XX, December 1, 1995, pages 1-9, XP002081461	
	5	BICKMORE, T.W., et al.: "Digester: device-independent access to the World Wide Web", COMPUTER NETWORKS AND ISDN SYSTEMS, NL, NORTH HOLLAND PUBLISHING, AMSTERDAM, Volume 29, No. 8-13, September 1, 1997, Pages 1075-1082, XP004095305 ISSN: 0169-7552	

Examiner Signature		Date Considered	
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(1449B/PTO Modified)

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.